

# UTILITY DIVISIONAL PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.: 3123-366-1

Inventors: Herbert Ross Chessman of 4626 Betty Place, Erie, CO 80516 and Paul D. Mischo of 2181 Sand Dollar Circle, Longmont, CO 80503

Express Mail Label No.: EV331283781US

Title: "SPRING DISK CLAMP UTILIZING WEDGED RETAINING RING"

Group Art Unit:

Examiner:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a Divisional application of pending prior application No. 09/905,385 filed July 12, 2001. The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference.

Enclosed for filing with the above-identified utility patent application, please find the following:

1. ☒ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).
2. ☒ Copy of Oath/Declaration from the above-referenced pending prior application (37 CFR 1.63(d)).
3. ☒ Information Disclosure Statement (IDS/PTO-1449)
4. ☒ Preliminary Amendment
5. ☒ Return Postcard (MPEP 503) (should be specifically itemized)
6. ☒ A check in the amount of \$750.00.

## FEE CALCULATION:

Cancel in this application original Claims 1 - 8 and 24 - 27 of the prior application before calculating the filing fee.

4	(COL. 1) NO. FILED			(COL. 2*) NO. EXTRA	SMALL ENTITY			LARGE ENTITY	
					RATE	FEE		RATE	FEE
BASIC FEE:						\$375.00	OR		\$750.00
TOTAL CLAIMS:	15	-	20	0	X \$9 =		OR	X \$18 =	\$0.00
INDEP. CLAIMS:	3	-	3	0	X \$42 =		OR	X \$84 =	\$0.00
MULTIPLE DEPENDENT CLAIMS					+ \$140 =		OR	+\$280 =	\$0.00
*IF THE DIFFERENCE IN COL. 2 IS LESS THAN ZERO, ENTER "0" IN COL. 2.					TOTAL:				\$750.00

## OTHER INFORMATION:

1. ☒ The Commissioner is hereby authorized to debit any underpayments or credit any overpayment to Deposit Account No. 19-1970.
2. ☒ The Commissioner is hereby authorized to charge all required fees for extensions of time under §1.17 to Deposit Account No. 19-1970.
3. ☒ The Power of Attorney appears in the original papers of the prior pending application.
4. ☒ The prior application is assigned to MAXTOR CORPORATION.

5. [X] Please amend the specification by inserting the following after the title and before the Field of Invention:

-- CROSS REFERENCE TO RELATED APPLICATION

The present application is a divisional application of and claims priority from U.S. Patent Application Serial No. 09/905,385, filed July 12, 2001, entitled "SPRING DISK CLAMP UTILIZING WEDGED RETAINING RING", the entire disclosure of which is incorporated herein by this reference.

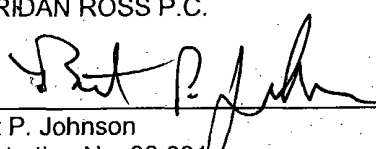
6. [X] Correspondence Address:

Brent P. Johnson  
SHERIDAN ROSS P.C.  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
Telephone: (303) 863-9700  
Facsimile: (303) 863-0223

7. [X] Customer No: **22442**

Respectfully Submitted,

SHERIDAN ROSS P.C.

  
Brent P. Johnson  
Registration No. 38,031

Date: 7/23/03

"EXPRESS MAIL" MAILING LABEL NUMBER: EV331283781US  
DATE OF DEPOSIT: July 23, 2003

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VIRGINIA 22313-1450.

TYPED OR PRINTED NAME: AIMEE M. THUERK

SIGNATURE: 

**Certification (37 C.F.R. 1.97(e))**  
(Applicable only if checked)

☐ The undersigned certifies that:

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(1).

☐ A copy of the communication from the foreign patent office is enclosed.

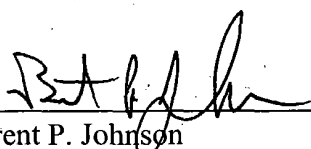
OR

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. 1.56(c) more than more than three months prior to the filing of this statement. 37 C.F.R. 1.97(e)(2).

Respectfully submitted,

SHERIDAN ROSS P.C.

By: \_\_\_\_\_

  
Brent P. Johnson  
Registration No. 38,031  
1560 Broadway, Suite 1200  
Denver, Colorado 80202-5141  
(303) 863-9700

Date: \_\_\_\_\_

7/23/03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CHESSMAN, et al.

Serial No.: NOT YET ASSIGNED

Filed: HEREWITH

Atty. File No.: 3123-366-1

For: "SPRING DISK CLAMP UTILIZING  
WEDGED RETAINING RING"

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

) Group Art Unit:

)

) Examiner:

)

) REQUEST FOR NON-PUBLICATION AND) CERTIFICATION UNDER 35 U.S.C.) 122(b)(2)(B)(i)

)

"EXPRESS MAIL" MAILING LABEL NUMBER: EV331283781US

DATE OF DEPOSIT: JULY 23, 2003

) I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE  
"EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE  
UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS  
ADDRESSED TO THE ASSISTANT COMMISSIONER FOR  
PATENTS, WASHINGTON, D.C. 20231. P.O. BOX 1450,  
ALEXANDRIA, VIRGINIA 22313-1450

TYPED OR PRINTED NAME: AIMEE THUERK

SIGNATURE: 

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

*Applicant understands that this request must be signed in compliance with 37 C.F.R. 1.33(b) and submitted with the application upon filing. Applicant also understands that they may rescind this nonpublication request at any time. If Applicant rescinds a request that an application not be published under U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed. If Applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the Applicant understands that they must notify the U.S. Patent Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).*

Respectfully submitted,

SHERIDAN ROSS P.C.

By: 

Brent P. Johnson

Registration No. 38,031

1560 Broadway, Suite 1200

Denver, Colorado 80202-5141

(303) 863-9700

Date: 7/23/03